PTO/SB/21 (08-00) Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 09/777,368 Application Number TRANSMITTAL February 5, 2001 Filing Date **FORM** Andy C. Neilson First Named Inventor 2859 (to be used for all correspondence after initial filing) Group Art Unit **Examiner Name FSII 303** 4+ Total Number of Pages in This Submission Attorney Docket Number **ENCLOSURES** (check all that apply) After Allowance Communication **Assignment Papers** Fee Transmittal Form (for an Application) to Group Appeal Communication to Board Fee Attached Drawing(s) of Appeals and Interferences Licensing-related Papers Appeal Communication to Group Amendment / Reply (Appeal Notice, Brief, Reply Brief) After Final Proprietary Information Petition to Convert to a Affidavits/declaration(s) Provisional Application Status Letter Power of Attorney, Revocation Change of Correspondence Other Enclosure(s) (please Extension of Time Request Address identify below): Terminal Disclaimer \* Form PTO-1449 (1 page) Express Abandonment Request Request for Refund \* 9 References (U.S. and other docs.) Information Disclosure Statement CD, Number of CD(s) . (Third Supplemental) Certified Copy of Priority Document(s) Remarks Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm James R. Abney, Esq. Kolisch Hartwell, P.C. Individual name Signature October 11, 2002 Date **CERTIFICATE OF MAILING** 

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

Typed or printed name

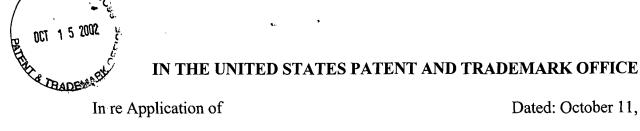
Renee L. Knight

Signature

Date

October 11, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Dated: October 11, 2002

Group Art Unit 2859

ANDY C. NEILSON and JAY S. TEICH

Serial No.

09/777,368

Filed

February 5, 2001

For

APPARATUS AND METHODS FOR INFRARED

CALORIMETRIC MEASUREMENTS

Commissioner for Patents Washington, D.C. 20231

Sir:

## THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.56, 1.97, and 1.98

Applicants are submitting this Third Supplemental Information Disclosure Statement pursuant to 37 C.F.R. §§ 1.56, 1.97, and 1.98 to disclose to the Patent Office the patents and/or other publications listed on the enclosed, completed PTO-1449 form. The filing of this Third Supplemental Information Disclosure Statement should not be construed as a representation that a search has been made or as an admission that the listed references are prior art for this application. Applicants respectfully request that the listed references be expressly considered during prosecution of the application, and that the references be made of record therein and appear among the "references cited" on any patents issuing therefrom.

## CONTENT OF DISCLOSURE

This Third Supplemental Information Disclosure Statement includes (1) one page of PTO-1449 forms, and (2) a legible copy of each reference listed on the form.

Page 1 -THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT ... Serial No. 09/777,368

## TIMING OF DISCLOSURE / FEE INFORMATION

This Third Supplemental Information Disclosure Statement is being filed, to the best of the undersigned's knowledge, before the mailing of a first Office action on the merits. Moreover, the undersigned certifies that no item of information contained in this Third Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this Third Supplemental Information Disclosure Statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Third Supplemental Information Disclosure Statement. Therefore, in accordance with 37 C.F.R. § 1.97(b) and/or (c), no fee is required.

Please contact the undersigned attorney with any questions or comments regarding this Third Supplemental Information Disclosure Statement.

## **CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on October 11, 2002.

Renee Knight

PATENT TRADEMARK OFFICE

Respectfully submitted,

KOLISCH HARTWELL, P.C.

James R. Abney

Registration No. 42,253

Customer No. 23581

520 S.W. Yamhill Street, Suite 200

Portland, Oregon 97204 Telephone: (503) 224-6655

Facsimile: (503) 295-6679

Attorney for Assignee

OTPE OCT 15 2002

DOCKET NUMBER APPLICATION NUMBER FORM PTO-1449 FSII 303 09/777,368 INFORMATION DISCLOSURE **APPLICANTS** CITATION IN AN APPLICATION Andy C. Neilson and Jay S. Teich FILING DATE **GROUP ART UNIT** 2859 February 5, 2001 U.S. PATENT DOCUMENTS **EXAMINER** DOCUMENT DATE NAME CLASS SUB FIL. DATE NUMBER IF APPROP. 5,002,889 3/26/91 Klein 5,846,708 12/8/98 Hollis et al. RECEIVED 6/15/99 Ogata et al. 5,911,953 OCT 2 1 2002 6,373,570 4/16/02 McFarland et al. 4/30/02 Verhaegen 6,380,605 2001/0046471 11/29/01 Marek et al. FOREIGN PATENT DOCUMENTS DOCUMENT NUMBER DATE COUNTRY CLASS SUB TRANSLATION CLASS NO YES WO01/85901 11/15/01 WIPO XXWO02/11881 2/14/02 WIPO XX OTHER DOCUMENTS Infrared Thermogenic Screening of Combinatorial Libraries of Heterogeneous Catalysts, Moates et al., Ind. Eng. Chem. Res., Vol. 35, No. 12, pp. 4801-4803, 1996. DATE CONSIDERED **EXAMINER**